



More on Political Campaigns

Exception - does not apply to activities that are a part of the normal and regular conduct of the agency

- For example, providing research information, facts, or data if it is part of the agency's regular mission to do so



Post-state Employment

- The 1 year restriction: Cannot accept employment or compensation from an employer within 1 year of leaving board service if:
 - During the previous 2 years, the officer negotiated or administered a contract with the new employer
 - Contract(s) value in excess of \$10,000
 - Duties with new employer would include fulfilling or implementing that contract



More on Post-state Employment

■ The 2 year restriction

- Within 2 years after leaving board service a former state officer may not have a beneficial interest in a contract or grant expressly authorized or funded by executive action in which the officer or employee participated
- "Beneficial interest" is the right to enjoy profit, benefit or advantage from a contract or other property. For example, a husband and wife each have a beneficial interest in the other spouse's community property



Yet More on Employment

■ Permanent restriction

- State officer may not accept employment as a reward or compensation for the performance or nonperformance of his or her official duties as a state officer



Assisting in Transactions Involving the State

- General rule - a state officer may not assist a person in a transaction involving the state
 - If the officer participated in that transaction
 - If it was under his or her official responsibility within two years prior to providing the assistance
 - Same restrictions apply to affiliated business entities, and, to some extent, to former state officers



More on Assisting in Transactions Involving the State

■ Exception

- A state officer is not prohibited from assisting a person in a transaction involving the state if it falls within the officer's official duties



Financial Interest in State Transactions

■ General rules

- State officer may not have a beneficial interest in a contract that is made by or through him or her or is under his or her supervision
- State officer may not accept any compensation from any other person beneficially interested in a contract that is made by or through him or her or is under his or her supervision



More on Financial Interest in State Transactions

- State officer/employee may not participate in a transaction involving the state in his or her official capacity with a “person”
 - Of which the officer or employee is an officer, agent, employee, or member,
 - Or in which the officer or employee owns a beneficial interest



Investments

- For boards responsible for investment of funds
 - Restrictions apply and the board must adopt policies governing approval of investments
- LEOFF 2 Board consults on investment of expense fund, but does not make investment decisions



Enforcement

- By the executive ethics board
 - Staffed by the attorney general's office
 - Sanctions include
 - Damages sustained by the state
 - Civil penalties of up to \$5,000 per violation or 3 times any thing received or sought in the violation
 - Costs
- Compliance is YOUR responsibility



For More Information

- Visit the executive ethics board web site at www.wa.gov/ethics